

# Part of the proof of Dirichlet's theorem

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July 2023

**Dirichlet's theorem** states that there are infinitely many primes in the arithmetic progression  $aq + b$ , where  $\gcd(q, b) = 1$ . In fact, Dirichlet showed an even stronger result:

$$\sum_{p \equiv b \pmod{q}} \frac{1}{p} = \infty,$$

where the sum is taken over all primes  $p$ . It turns out that this reduces to the fact that the  $L$ -function  $L(s, \chi) = \sum \frac{\chi(n)}{n^s}$  is nonzero at  $s = 1$ .

The following exposition of Dirichlet's theorem is largely adapted from Stein & Shakarchi's *Fourier Analysis*. Here,  $\mathbb{Z}$  is the set of integers,  $\mathbb{C}$  the set of complex numbers, and  $S^1 := \{z \in \mathbb{C} : |z| = 1\}$  the unit circle.

## 1 Basic properties

**Definition 1.1.** A *Dirichlet character*  $\chi : (\mathbb{Z}/q\mathbb{Z})^\times \rightarrow S^1$  is a completely multiplicative function from the group of (equivalence classes of) integers coprime to  $q$  under multiplication to the circle group  $S^1$  (i.e., a group homomorphism). That is,  $\chi(mn) = \chi(m)\chi(n)$  for all  $m, n$ .

We can extend the domain of  $\chi$  to all of  $\mathbb{Z}$  by letting  $\chi(n + q) = \chi(n)$  whenever  $n$  is coprime to  $q$ , and  $\chi(n) = 0$  otherwise.

In particular, call the character

$$\chi_0(n) := \begin{cases} 1 & \text{if } \gcd(n, q) = 1 \\ 0 & \text{otherwise} \end{cases}$$

the *trivial character*.

Fixing  $q$ , we may speak of the set of all Dirichlet characters (which has a group structure).

**Definition 1.2.** A *L-function* is defined by the series

$$L(s, \chi) := \sum_{n=1}^{\infty} \frac{\chi(n)}{n^s},$$

for any Dirichlet character  $\chi$ .

**Proposition 1.3** (Euler product formula). *L-functions satisfy the following formula:*

$$L(s, \chi) = \prod_p \left( \frac{1}{1 - \chi(p)p^{-s}} \right),$$

where the product is taken over all primes  $p$ .

To see this, simply multiply the series expansion of the LHS by successive factors of  $(1 - \chi(p)p^{-s})$ ; this goes to 1, making use of Erasthene's sieve and the complete multiplicativity of  $\chi$ .

In particular, if  $q = p_1^{a_1} p_2^{a_2} \cdots p_N^{a_N}$ , then

$$L(s, \chi_0) = (1 - p_1^{-s}) \cdots (1 - p_N^{-s}) \cdot \zeta(s),$$

where  $\zeta$  is the Riemann zeta function.

## 2 Reduction to nonvanishing of $L(s, \chi)$

Remarkably, due to abstract Fourier analysis (aka abstract harmonic analysis), we have the *orthogonality relations*:

$$\frac{1}{\varphi(q)} \sum_{\chi} \overline{\chi(m)} \chi(n) = \delta_{m,n} := \begin{cases} 1 & \text{if } m \equiv n \pmod{q} \\ 0 & \text{otherwise,} \end{cases}$$

where  $\varphi$  is Euler's totient function (in fact, the cardinality of  $(\mathbb{Z}/q\mathbb{Z})^\times$ ) and the sum is taken over all Dirichlet characters  $\chi$ . Thus,

$$\begin{aligned} \sum_{p \equiv b \pmod{q}} \frac{1}{p^s} &= \sum_p \frac{\delta_{b,p}}{p^s} \\ &= \frac{1}{\varphi(q)} \sum_{\chi} \overline{\chi(b)} \sum_p \frac{\chi(p)}{p^s} \\ &= \frac{1}{\varphi(q)} \left[ \sum_p \frac{\chi_0(p)}{p^s} + \sum_{\chi \neq \chi_0} \overline{\chi(b)} \sum_p \frac{\chi(p)}{p^s} \right]. \end{aligned}$$

There are only finite many primes  $p$  such that  $\chi_0(p) = 0$ , so  $\sum_p \frac{\chi_0(p)}{p^s}$  diverges as  $s$  tends to 1. This leave us to prove that  $L(s, \chi)$  is bounded as  $s \rightarrow 1^+$  for nontrivial  $\chi$ .

If  $L(1, \chi)$  is finite and nonzero, then since

$$\begin{aligned} \log L(s, \chi) &= - \sum_p \log(1 - \chi(p)p^{-s}) \\ &= - \sum_p [-\chi(p)p^{-s} + O(p^{-2s})] \\ &= \sum_p \frac{\chi(p)}{p^s} + O(1), \end{aligned}$$

$\log L(s, \chi)$  is bounded as  $s \rightarrow 1^+$ ; therefore the sum  $\sum_p \frac{\chi(p)}{p^s}$  remains bounded as well. It turns out that  $L(s, \chi)$  is continuous at  $s = 1$ , so we need only show that  $L(1, \chi)$  does not vanish.

### 3 Proof of nonvanishing

**Theorem 3.1.** *If  $\chi \neq \chi_0$ , then  $L(1, \chi) \neq 0$ .*

We split into two cases: where  $\chi$  takes on nonreal values, i.e.,  $\chi \neq \bar{\chi}$ ; and where  $\chi$  takes on real values only, i.e.,  $\chi(n) \in \{0, \pm 1\}$ .

#### 3.1 Case 1: $\chi$ nonreal

We use the following two lemmas.

**Lemma 3.2.**

$$\prod_{\chi} L(s, \chi) \geq 1,$$

where the product is taken over all Dirichlet characters  $\chi$ .

*Proof.* Observe that

$$\begin{aligned} \prod_{\chi} L(s, \chi) &= \exp \left[ \sum_{\chi} \log L(s, \chi) \right] \\ &= \exp \left[ \sum_{\chi} \sum_p \log \left( \frac{1}{1 - \chi(p)p^{-s}} \right) \right] \\ &= \exp \left[ \sum_{\chi} \sum_p \sum_{k=1}^{\infty} \frac{\chi(p^k)}{p^{ks}} \right] \\ &= \exp \left[ \sum_p \sum_{k=1}^{\infty} \frac{1}{p^{ks}} \sum_{\chi} \chi(p^k) \right]. \end{aligned}$$

If we take  $m = 1$  and  $n = p^k$ , we get  $\sum_{\chi} \chi(p^k) = \varphi(q)\delta_{1,p^k}$  from the orthogonality relations. Hence,

$$\prod_{\chi} L(s, \chi) = \exp \left[ \sum_p \sum_{k=1}^{\infty} \frac{\varphi(q)\delta_{1,p^k}}{p^{ks}} \right].$$

The last expression is greater than or equal 1 because the each term in the exponential is nonnegative.  $\square$

**Lemma 3.3.** *The following hold for  $s \geq 1$ :*

1. *If  $L(1, \chi) = 0$ , then  $L(1, \bar{\chi}) = 0$ .*
2. *If  $\chi \neq \chi_0$ , and  $L(1, \chi) = 0$ , then  $L(s, \chi) \leq C|s - 1|$ .*
3.  *$L(s, \chi_0) \leq C/|s - 1|$ .*

We can now prove Theorem 3.1 on the nonvanishing of  $L$ -functions of nonreal Dirichlet characters at  $s = 1$ .

*Proof.* Let  $\chi$  be a nonreal (thus, nontrivial) Dirichlet character. Suppose  $L(1, \chi) = 0$ . Then there are two distinct terms,  $L(s, \chi)$  and  $L(s, \bar{\chi})$ , that contribute to  $\prod_{\chi} L(s, \chi)$ ; these vanish in  $O(|s-1|)$  as  $s \rightarrow 1^+$ , so together vanish in  $O(|s-1|^2)$ . Only the term  $L(s, \chi_0)$  tends to infinity like  $O(1/|s-1|)$ , which is insufficient to prevent  $\prod_{\chi} L(s, \chi)$  from vanishing. This contradicts Lemma 3.2.  $\square$

### 3.2 Case 2: $\chi$ real

(Under construction.)